

**JENKINS
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& TAYLOR**

patent attorneys

December 13, 2004

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Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450Re: U.S. Patent Application Serial No. 09/965,135 for
NOVEL RECOMBINANT DNA VECTORS FOR GENE
THERAPY
Our Ref. No. 1406/206

Sir:

Please find enclosed the following:

1. Request for Continued Examination (RCE) Transmittal (1 pg) in duplicate;
2. After Final Amendment (13 pgs);
3. Request for Change from Large Entity Status to Small Entity Status (1 pg); and
4. Copy of Notice of Recordation of Assignment (Exhibit A) (4 pgs).

The Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

Arles A. Taylor, Jr.
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Enclosures

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DATE: December 13, 2004

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FAX NO.: (703) 872-9306

FROM: Arles A. Taylor, Jr. (ptw)

RE: Serial No. 09/965,135; Atty Docket No. 1406/206

NUMBER OF PAGES TO FOLLOW: 21

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Request for Continued Examination (RCE) (1 pg)
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Request for Continued Examination (RCE) Transmittal

Address to:
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P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/965,135
Filing Date	September 27, 2001
First Named Inventor	Walter H. Gunzburg
Art Unit	1648
Examiner Name	Foley, Shannon
Attorney Docket Number	1406/206

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
ii. ☐ Other _____

b. ☒ Enclosed

- i. ☒ Amendment/Reply
ii. ☐ Affidavit(s) Declaration(s)
iii. ☐ Information Disclosure Statement (IDS)
iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
b. ☐ Other _____

3. Fees

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- a. ☒ Deposit Account No. 50-0426. I have enclosed a duplicate copy of this sheet.

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b. ☐ Check in the amount of \$ _____ enclosed

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Signature	<i>Aries A. Taylor, Jr.</i>	Date	December 13, 2004
Name (Print/Type)	Aries A. Taylor, Jr.	Registration No.	39,395

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PAGE 3/22 * RCVD AT 12/13/2004 4:09:10 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/2 * DNIS:8729306 * CSID:9194190383 * DURATION (mm:ss):06:02

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	Filing Date	September 27, 2001
	First Named Inventor	Walter H. Gunzburg
	Art Unit	1648
	Examiner Name	Foley, Shannon
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i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Signature	<i>Arles A. Taylor, Jr.</i>
Name (Print/Type)	Arles A. Taylor, Jr.
Date	December 13, 2004
Registration No.	39,395

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